

Weighted Zeta Functions for Hyperbolic Flows

(joint work with T. Weich)

Philipp Schütte¹

¹AG Spektralanalysis
Institute of Mathematics
Paderborn University

Microlocal and Global Analysis, Interactions with Geometry
February 21 - 25, 2022

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

- **X** a closed manifold of negative sectional curvature $\kappa < 0$

- **X** a closed manifold of negative sectional curvature $\kappa < 0$
- **X** may be non-compact; requires further assumptions (e.g. convex cocompact hyperbolic surface)

- \mathbf{X} a closed manifold of negative sectional curvature $\kappa < 0$
- \mathbf{X} may be non-compact; requires further assumptions (e.g. convex cocompact hyperbolic surface)
- $\varphi_t \curvearrowright S\mathbf{X}$ the geodesic flow, V its generator (geodesic vector field)

Definition of Ruelle Resonances

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

Theorem (Dyatlov and Guillarmou 2016)

The L^2 -resolvent $\mathbf{R}(\lambda) = (V - \lambda)^{-1}$ continues meromorphically to \mathbb{C} as a family of operators $C^\infty(S\mathbf{X}) \rightarrow \mathcal{D}'(S\mathbf{X})$.

Theorem (Dyatlov and Guillarmou 2016)

The L^2 -resolvent $\mathbf{R}(\lambda) = (V - \lambda)^{-1}$ continues meromorphically to \mathbb{C} as a family of operators $C^\infty(S\mathbf{X}) \rightarrow \mathcal{D}'(S\mathbf{X})$. The residue Π_{λ_0} at $\lambda_0 \in \mathbb{C}$ is a finite-rank operator with well-known wavefront set.

Definition of Ruelle Resonances

Theorem (Dyatlov and Guillarmou 2016)

The L^2 -resolvent $\mathbf{R}(\lambda) = (V - \lambda)^{-1}$ continues meromorphically to \mathbb{C} as a family of operators $C^\infty(S\mathbf{X}) \rightarrow \mathcal{D}'(S\mathbf{X})$. The residue Π_{λ_0} at $\lambda_0 \in \mathbb{C}$ is a finite-rank operator with well-known wavefront set.

Definition

The poles of $\mathbf{R}(\lambda)$ are called the **Ruelle resonances** of V .

Definition of Ruelle Resonances

Theorem (Dyatlov and Guillarmou 2016)

The L^2 -resolvent $\mathbf{R}(\lambda) = (V - \lambda)^{-1}$ continues meromorphically to \mathbb{C} as a family of operators $C^\infty(S\mathbf{X}) \rightarrow \mathcal{D}'(S\mathbf{X})$. The residue Π_{λ_0} at $\lambda_0 \in \mathbb{C}$ is a finite-rank operator with well-known wavefront set.

Definition

The poles of $\mathbf{R}(\lambda)$ are called the **Ruelle resonances** of V . The image $\text{range}(\Pi_{\lambda_0})$ is called the **space of resonant states**.

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

- resonant states are important in several different contexts (asymptotic expansion, quantum-classical correspondence, ...)

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

- resonant states are important in several different contexts (asymptotic expansion, quantum-classical correspondence, ...)

Definition

Given a resonance λ_0 we define its **invariant Ruelle distribution** by

$$\mathcal{T}_{\lambda_0} : C^\infty(S\mathbf{X}) \ni f \longmapsto \text{tr}^b(\Pi_{\lambda_0} f) \in \mathbb{C} .$$

Invariant Ruelle Distributions

- resonant states are important in several different contexts (asymptotic expansion, quantum-classical correspondence, ...)

Definition

Given a resonance λ_0 we define its **invariant Ruelle distribution** by

$$\mathcal{T}_{\lambda_0} : C^\infty(S\mathbf{X}) \ni f \longmapsto \text{tr}^b(\Pi_{\lambda_0} f) \in \mathbb{C} .$$

- if $\text{rank}(\Pi_{\lambda_0}) = 1$ then \exists (co-)resonant states u and v such that

$$\mathcal{T}_{\lambda_0}[f] = \langle u|f|v \rangle ,$$

Invariant Ruelle Distributions

- resonant states are important in several different contexts (asymptotic expansion, quantum-classical correspondence, ...)

Definition

Given a resonance λ_0 we define its **invariant Ruelle distribution** by

$$\mathcal{T}_{\lambda_0} : C^\infty(S\mathbf{X}) \ni f \longmapsto \text{tr}^b(\Pi_{\lambda_0} f) \in \mathbb{C} .$$

- if $\text{rank}(\Pi_{\lambda_0}) = 1$ then \exists (co-)resonant states u and v such that

$$\mathcal{T}_{\lambda_0}[f] = \langle u|f|v \rangle ,$$

- we are looking for means of calculating \mathcal{T}_{λ_0} concretely

Definition Weighted Zeta Functions

Ruelle
Resonances on
Hyperbolic
Surfaces

**Weighted Zeta
Functions**

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

Definition Weighted Zeta Functions

Definition

We define the **weighted zeta function** with weight $f \in C^\infty(\mathbf{SX})$ by

$$Z_f(\lambda) = \sum_{\gamma} \left(\frac{\exp(-\lambda T_{\gamma})}{|\det(\text{id} - \mathcal{P}_{\gamma})|} \int_{\gamma^{\#}} f \right),$$

Definition Weighted Zeta Functions

Definition

We define the **weighted zeta function** with weight $f \in C^\infty(\mathbf{SX})$ by

$$Z_f(\lambda) = \sum_{\gamma} \left(\frac{\exp(-\lambda T_{\gamma})}{|\det(\text{id} - \mathcal{P}_{\gamma})|} \int_{\gamma^{\#}} f \right),$$

where the sum goes over closed geodesics γ , T_{γ} denotes its period, $\gamma^{\#}$ its associated primitive closed geodesic, and \mathcal{P}_{γ} its linearized Poincaré map.

Definition Weighted Zeta Functions

Definition

We define the **weighted zeta function** with weight $f \in C^\infty(\mathbf{S}\mathbf{X})$ by

$$Z_f(\lambda) = \sum_{\gamma} \left(\frac{\exp(-\lambda T_{\gamma})}{|\det(\text{id} - \mathcal{P}_{\gamma})|} \int_{\gamma^{\#}} f \right),$$

where the sum goes over closed geodesics γ , T_{γ} denotes its period, $\gamma^{\#}$ its associated primitive closed geodesic, and \mathcal{P}_{γ} its linearized Poincaré map.

- generalization of dynamical determinants used to prove meromorphic continuation of the Ruelle zeta function (Dyatlov and Zworski 2016; Dyatlov and Guillarmou 2016)

Ruelle
Resonances on
Hyperbolic
Surfaces

**Weighted Zeta
Functions**

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

Theorem (S. and T. Weich 2021)

$Z_f(\lambda)$ continues meromorphically to \mathbb{C} with poles contained in the Ruelle resonances of V .

Theorem (S. and T. Weich 2021)

$Z_f(\lambda)$ continues meromorphically to \mathbb{C} with poles contained in the Ruelle resonances of V . Given a pole λ_0 the following holds for $k \geq 0$:

$$\operatorname{Res}_{\lambda=\lambda_0} \left[Z_f(\lambda)(\lambda - \lambda_0)^k \right] = \operatorname{tr}^b \left((V - \lambda_0)^k \Pi_{\lambda_0} f \right) .$$

Theorem (S. and T. Weich 2021)

$Z_f(\lambda)$ continues meromorphically to \mathbb{C} with poles contained in the Ruelle resonances of V . Given a pole λ_0 the following holds for $k \geq 0$:

$$\operatorname{Res}_{\lambda=\lambda_0} \left[Z_f(\lambda)(\lambda - \lambda_0)^k \right] = \operatorname{tr}^b \left((V - \lambda_0)^k \Pi_{\lambda_0} f \right) .$$

- holds much more generally (open hyperbolic systems)

Theorem (S. and T. Weich 2021)

$Z_f(\lambda)$ continues meromorphically to \mathbb{C} with poles contained in the Ruelle resonances of V . Given a pole λ_0 the following holds for $k \geq 0$:

$$\operatorname{Res}_{\lambda=\lambda_0} \left[Z_f(\lambda)(\lambda - \lambda_0)^k \right] = \operatorname{tr}^b \left((V - \lambda_0)^k \Pi_{\lambda_0} f \right) .$$

- holds much more generally (open hyperbolic systems)
- holds in a vector-valued version

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

**Sketch of the
Proof**

Quantum
Phase-Space
Distributions

Numerical
Applications

(1) absolute convergence on some right-halfplane $\operatorname{Re}(\lambda) \gg 1$

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

**Sketch of the
Proof**

Quantum
Phase-Space
Distributions

Numerical
Applications

Sketch of the Proof

- (1) absolute convergence on some right-halfplane $\operatorname{Re}(\lambda) \gg 1$
- (2) (Dyatlov and Zworski 2016; Dyatlov and Guillarmou 2016) implies

$$\operatorname{WF}'\left(e^{-t_0 V} \mathbf{R}(\lambda) f\right) \cap N^* \Delta = \emptyset,$$

- (1) absolute convergence on some right-halfplane $\operatorname{Re}(\lambda) \gg 1$
- (2) (Dyatlov and Zworski 2016; Dyatlov and Guillarmou 2016) implies

$$\operatorname{WF}' \left(e^{-t_0 V} \mathbf{R}(\lambda) f \right) \cap N^* \Delta = \emptyset ,$$

which makes the *flat trace* well-defined:

$$\operatorname{tr}^b \left(e^{-t_0 V} \mathbf{R}(\lambda) f \right) := \int_{S\mathbf{X}} K_{e^{-t_0 V} \mathbf{R}(\lambda) f}(x, x) dx$$

- (1) absolute convergence on some right-halfplane $\operatorname{Re}(\lambda) \gg 1$
- (2) (Dyatlov and Zworski 2016; Dyatlov and Guillarmou 2016) implies

$$\operatorname{WF}' \left(e^{-t_0 V} \mathbf{R}(\lambda) f \right) \cap N^* \Delta = \emptyset ,$$

which makes the *flat trace* well-defined:

$$\operatorname{tr}^b \left(e^{-t_0 V} \mathbf{R}(\lambda) f \right) := \int_{S\mathbf{X}} K_{e^{-t_0 V} \mathbf{R}(\lambda) f}(x, x) dx$$

- (3) use (weighted) Guillemin trace formula to show that

$$Z_f(\lambda) = e^{-\lambda t_0} \operatorname{tr}^b \left(e^{-t_0 V} \mathbf{R}(\lambda) f \right)$$

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

**Quantum
Phase-Space
Distributions**

Numerical
Applications

- assume X to be a compact **constant** negative curvature surface (compact hyperbolic surface)

- assume \mathbf{X} to be a compact **constant** negative curvature surface (compact hyperbolic surface)
- $\Delta_{\mathbf{X}}$ its Laplacian, $\sigma(\Delta_{\mathbf{X}}) = \{\lambda_j\}$ the spectrum of $\Delta_{\mathbf{X}}$, $\Delta_{\mathbf{X}}\varphi_j = \lambda_j\varphi_j$

- assume \mathbf{X} to be a compact **constant** negative curvature surface (compact hyperbolic surface)
- $\Delta_{\mathbf{X}}$ its Laplacian, $\sigma(\Delta_{\mathbf{X}}) = \{\lambda_j\}$ the spectrum of $\Delta_{\mathbf{X}}$, $\Delta_{\mathbf{X}}\varphi_j = \lambda_j\varphi_j$

Definition

We call the following distribution on $S\mathbf{X}$ the **Wigner distribution** associate with φ_j :

$$W_{\varphi_j}[f] := \langle \text{Op}(f)\varphi_j, \varphi_j \rangle_{L^2} .$$

New Residue Formula I

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

**Quantum
Phase-Space
Distributions**

Numerical
Applications

- **quantum-classical correspondence** (Dyatlov, Faure, and Guillarmou 2015):

$$\text{(classical resonance)} - \frac{1}{2} + ir \longleftrightarrow \frac{1}{4} + r^2 \text{ (quantum eigenvalue)}$$

- **quantum-classical correspondence** (Dyatlov, Faure, and Guillarmou 2015):

$$\text{(classical resonance)} - \frac{1}{2} + ir \iff \frac{1}{4} + r^2 \text{ (quantum eigenvalue)}$$

Proposition

$$\operatorname{Res}_{\lambda = -\frac{1}{2} + ir} [Z_f(\lambda)] = \sum_{\varphi_i: \lambda_i = \frac{1}{4} + r^2} \langle W_{\varphi_i}, f \rangle + \mathcal{O}(1/\lambda_i) .$$

- **quantum-classical correspondence** (Dyatlov, Faure, and Guillarmou 2015):

$$\text{(classical resonance)} - \frac{1}{2} + ir \iff \frac{1}{4} + r^2 \text{ (quantum eigenvalue)}$$

Proposition

$$\operatorname{Res}_{\lambda=-\frac{1}{2}+ir} [Z_f(\lambda)] = \sum_{\varphi_i: \lambda_i=\frac{1}{4}+r^2} \langle W_{\varphi_i}, f \rangle + \mathcal{O}(1/\lambda_i) .$$

- holds more generally for compact locally symmetric spaces of rank one

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

**Quantum
Phase-Space
Distributions**

Numerical
Applications

- allows us to compute quantum mechanical objects in terms of classical quantities

- allows us to compute quantum mechanical objects in terms of classical quantities
- uses an exact correspondence between invariant Ruelle and so-called Patterson-Sullivan distributions obtained by (Guillarmou, Hilgert, and Weich 2021)

- allows us to compute quantum mechanical objects in terms of classical quantities
- uses an exact correspondence between invariant Ruelle and so-called Patterson-Sullivan distributions obtained by (Guillarmou, Hilgert, and Weich 2021)
- extends results by (Anantharaman and Zelditch 2007) and (Emonds 2014) beyond the hyperbolic setting and to general smooth f

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

**Numerical
Applications**

- assume X to be an **infinite area** convex cocompact hyperbolic surface (Schottky surface)

The Setting

- assume X to be an **infinite area** convex cocompact hyperbolic surface (Schottky surface)
- distribution \mathcal{T}_{λ_0} lives on the three-dimensional SX

The Setting

- assume \mathbf{X} to be an **infinite area** convex cocompact hyperbolic surface (Schottky surface)
- distribution \mathcal{T}_{λ_0} lives on the three-dimensional $S\mathbf{X}$
- reduce dimension:

- assume \mathbf{X} to be an **infinite area** convex cocompact hyperbolic surface (Schottky surface)
- distribution \mathcal{T}_{λ_0} lives on the three-dimensional $S\mathbf{X}$
- reduce dimension:
 - ① push-forward $\pi_* \mathcal{T}_{\lambda_0}$ along $\pi : S\mathbf{X} \rightarrow \mathbf{X}$

- assume \mathbf{X} to be an **infinite area** convex cocompact hyperbolic surface (Schottky surface)
- distribution \mathcal{T}_{λ_0} lives on the three-dimensional $S\mathbf{X}$
- reduce dimension:
 - 1 push-forward $\pi_* \mathcal{T}_{\lambda_0}$ along $\pi : S\mathbf{X} \rightarrow \mathbf{X}$
 - 2 restriction $\mathcal{T}_{\lambda_0}|_{\Sigma}$ to a Poincaré section $\Sigma \subseteq S\mathbf{X}$

- assume \mathbf{X} to be an **infinite area** convex cocompact hyperbolic surface (Schottky surface)
- distribution \mathcal{T}_{λ_0} lives on the three-dimensional $S\mathbf{X}$
- reduce dimension:
 - ① push-forward $\pi_* \mathcal{T}_{\lambda_0}$ along $\pi : S\mathbf{X} \rightarrow \mathbf{X}$
 - ② restriction $\mathcal{T}_{\lambda_0}|_{\Sigma}$ to a Poincaré section $\Sigma \subseteq S\mathbf{X}$
- use techniques adapted from (Borthwick 2014) for the actual computations

Some Example Plots

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

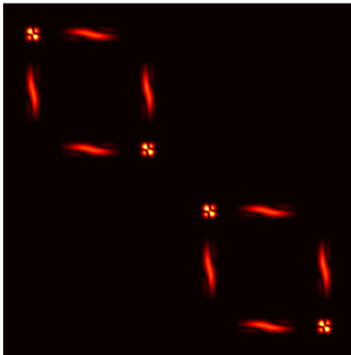
Sketch of the
Proof

Quantum
Phase-Space
Distributions

**Numerical
Applications**

Some Example Plots

Figure: Invariant Ruelle distribution on a Poincaré section $\Sigma \subseteq S\mathbf{X}$ of the unit tangent bundle of the symmetric three-funnel surface of length 14 associated with a resonance near the leading one.



Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

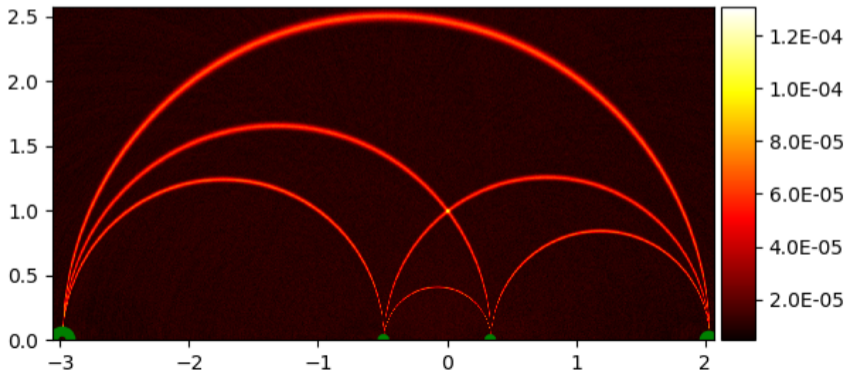
Sketch of the
Proof

Quantum
Phase-Space
Distributions

**Numerical
Applications**

More Example Plots

Figure: Invariant Ruelle distribution of the symmetric three-funnel surface of length 14 associated with a resonance near the leading one and pushed forward along the canonical projection $S\mathbf{X} \rightarrow \mathbf{X}$.

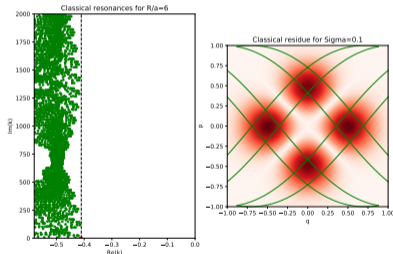


- for more visit
<https://go.upb.de/ruelle>

- for more visit
<https://go.upb.de/ruelle>
- we are working on an open source project concerning numerical calculation of anything resonance related

- for more visit
<https://go.upb.de/ruelle>
- we are working on an open source project concerning numerical calculation of anything resonance related
- goes public soon; your contributions are welcome!

- for more visit
<https://go.upb.de/ruelle>
- we are working on an open source project concerning numerical calculation of anything resonance related
- goes public soon; your contributions are welcome!



Ruelle
Resonances on
Hyperbolic
Surfaces

Weighted Zeta
Functions

Sketch of the
Proof

Quantum
Phase-Space
Distributions

Numerical
Applications

Thank you for your attention!

- Dyatlov, Semyon and Colin Guillarmou (Nov. 2016). “Pollicott–Ruelle Resonances for Open Systems”. In: *Annales Henri Poincaré* 17.11, pp. 3089–3146. DOI: 10.1007/s00023-016-0491-8. URL: <https://doi.org/10.1007/s00023-016-0491-8>.
- Dyatlov, Semyon and Maciej Zworski (2016). “Dynamical Zeta Functions for Anosov Flows via Microlocal Analysis”. In: *Annales Scientifiques de L’Ecole Normale Supérieure* 4.49, pp. 543–577. DOI: 0012-9593/03.
- Dyatlov, Semyon, Frédéric Faure, and Colin Guillarmou (June 2015). “Power spectrum of the geodesic flow on hyperbolic manifolds”. In: *Analysis & PDE* 8.4, 923–1000. ISSN: 2157-5045. DOI: 10.2140/apde.2015.8.923. URL: <http://dx.doi.org/10.2140/apde.2015.8.923>.
- Guillarmou, Colin, Joachim Hilgert, and Tobias Weich (2021). “High frequency limits for invariant Ruelle densities”. In: *Annales Henri Lebesgue* 4, pp. 81–119.

- Anantharaman, Nalini and Steve Zelditch (2007). “Patterson–Sullivan Distributions and Quantum Ergodicity”. In: *Annales Henri Poincaré* 8(2007), pp. 361–426.
- Emonds, Jan (2014). “A Dynamical Interpretation of Patterson-Sullivan Distributions”. PhD thesis. Paderborn University.
- Borthwick, David (2014). “Distribution of Resonances for Hyperbolic Surfaces”. In: *Experimental Mathematics* 1.23, pp. 25–45. DOI: 10.1080/10586458.2013.857282.
- Barkhofen, Sonja, Philipp Schütte, and Tobias Weich (2021). “Meromorphic Continuation of Weighted Zeta Functions on Open Hyperbolic Systems”. In: *arXiv:2112.05791*.
- (2022). “Semiclassical Formulae For Wigner Distributions”. In: *arXiv:2201.04892*.
- Schütte, Philipp and Tobias Weich (2022). “A Numerical Algorithm for the Calculation of Weighted Zeta Functions”. In: *being prepared*.

Abstract. Ruelle resonances constitute important invariants for chaotic (hyperbolic) dynamical systems and their theory has progressed greatly in the last couple of decades. Building on the work of Dyatlov and Guillarmou (2016) in this subject area we define and discuss a notion of weighted zeta function for open hyperbolic systems. First we sketch a proof of their meromorphic continuation and the fact that their poles encode the resonances. Then we show how their residues can be identified with so-called invariant Ruelle distributions. On the one hand this yields a residue interpretation of Patterson-Sullivan distributions, on the other hand this enables their numerical calculation for example systems like geodesic flows on Schottky surfaces and 3-disk obstacle scattering.